Unitary Patents & The Unified Patent Court

The what, the how, the when?

Jens Viktor Nørgaard Mikkel Ninn-Grønne



Agenda

- > Introduction
- > European Patent with unitary effect
 - Current and coming grant procedures
 - > Timing and participants
 - Costs
 - Considerations and to dos
- Unified Patent Court
 - Structure of the UPC
 - Competence of the UPC
 - Costs
 - Procedure before the UPC
 - Considerations and to dos
- > Thank you!



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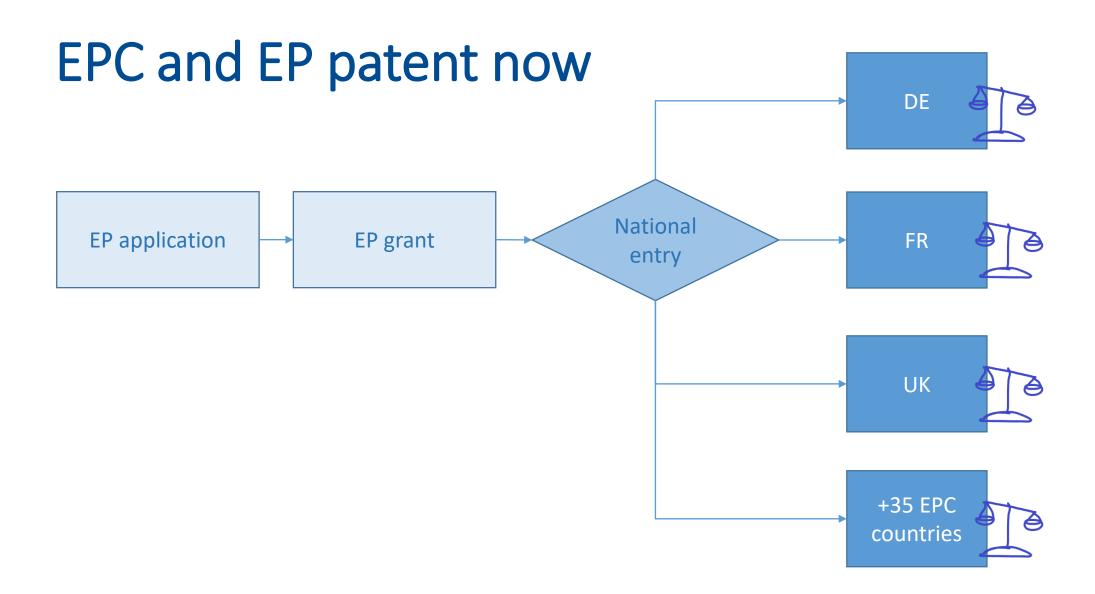
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Basis for the EPUE and UPC

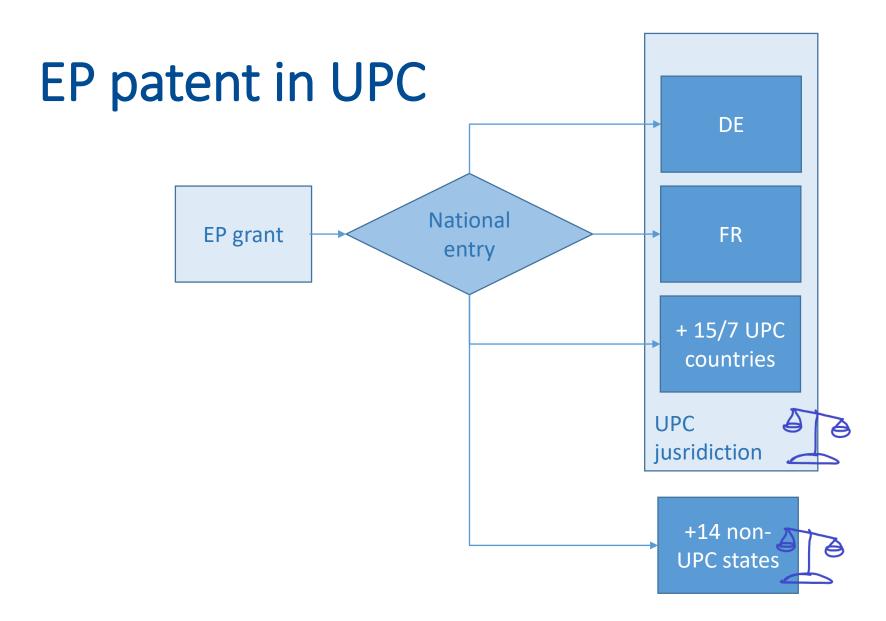
EP patent with unitary effect **Regulation 1257/2012** Enhanced cooperation in the area of the creation of unitary patent protection **Regulation 1260/2012** Enhanced cooperation in the area of the creation of unitary patent protection with regard to the applicable translation arrangements





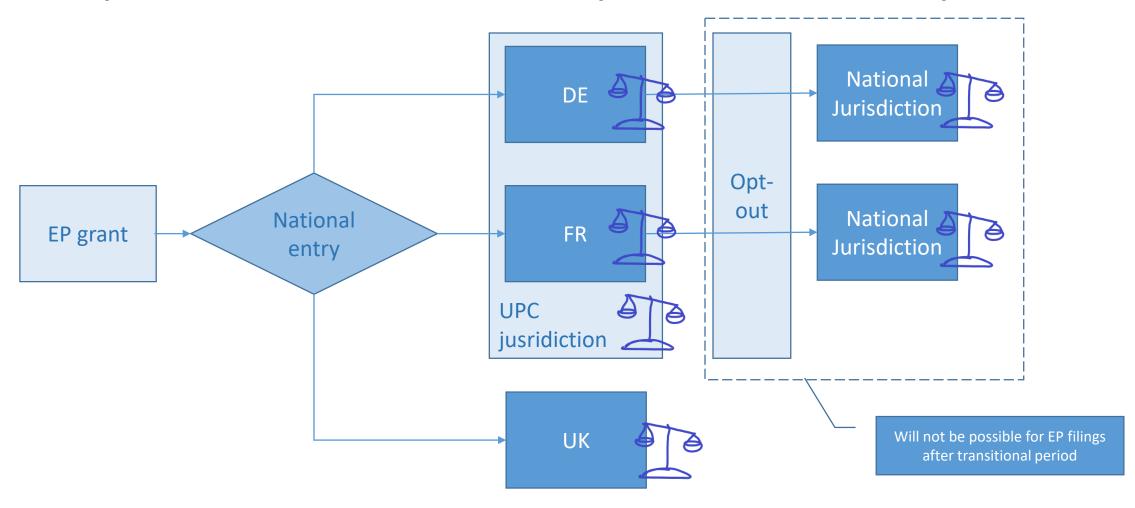






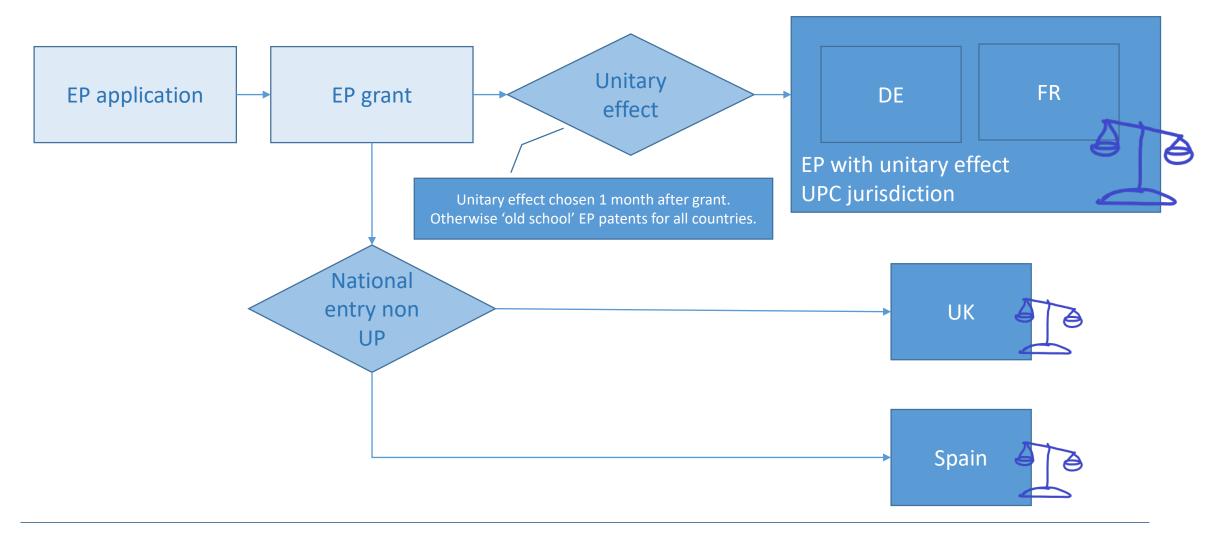


EP patent in transitional period 7 or 14 years



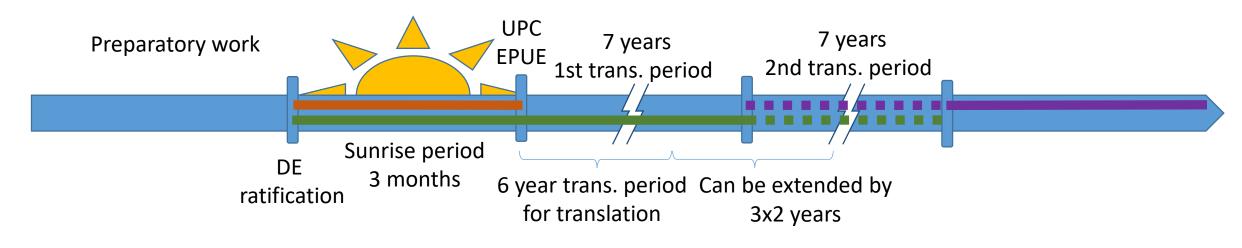


EPC and EP patent with unitary effect (UP)





Timing / When will it start



Early request for unitary effect or delay of grant possible during sunrise period

Opt-out for EP patents (and SPC's) possible from start of sunrise period until end of transitional period(s).

- Opt-in only possible once
- Transitional period over, both EP and EPUE before UPC

Choices for EP Patents

Current EP patents: opt out or stay within UPC

Future EP Patents: EP patent with Unitary Effect or regular EP validation

If regular EP validation: opt out or stay within UPC



Who are in?

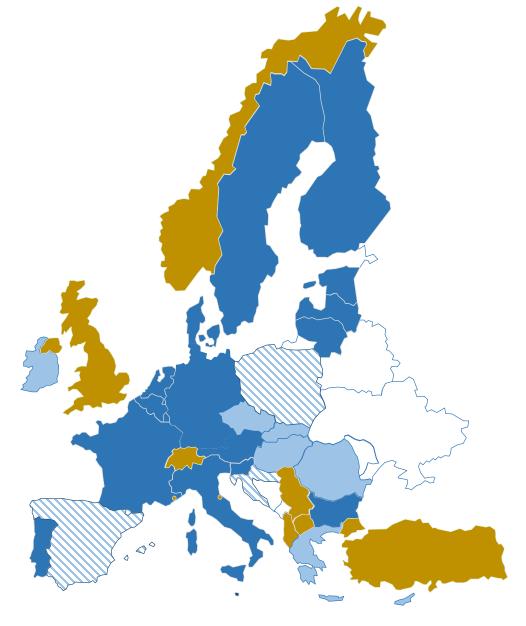


17 states will be part of the UPC at start

7 states will join

3 EU states outside UPC

11 additional EPC member states





Costs European Patent with Unitary effect

Prosecution: The prosecution process is the same, so no cost differences

Translation: EPUE requires translation into one other EU language (no legal effect). EP countries have different translation requirements. Break even if one EP country with full translation is required.

Renewal: EPUE breaks even on renewal fees with regular EP at ~4+ EP countries



Patent cost example for UP

Overview generated for 10 EPC countries where seven have ratified the UPC.

Austria

Belgium

Germany

Spain

France

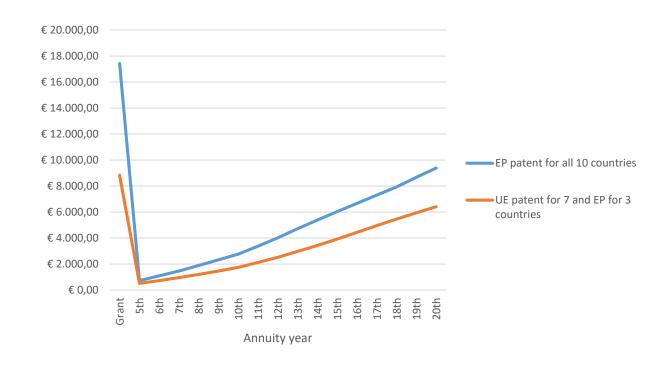
United Kingdom

Italy

Netherlands

Poland

Sweden



Total costs for EP is € 91.190 and total cost for UP is € 57.578



So, what should I consider/do now?

Postpone grant?

Yes, unless quick grant is needed, or if you are not interested in UP

Opt-out with current EP portfolio, or some of them?
Individual considerations

Factors:

- Costs
- All eggs in one basket
- Competences of the courts
- Case law

Check contracts, patent ownership agreements and licenses.

Are there any requirements, unclear positions or responsibilities?



What about SPC's?

Nothing in place on SPC's for unitary patents.





EUCJ (European Court Unified Patent Court (UPC) of Justice) Uniformity of EU law Court of First Instance Central Division The Court Paris Munich London* **Regional Divisions** Court of Appeal, Pool of judges Luxembourg **Local Divisions**



Actions that can be brought before the UPC

Infringement and damages

Provisional and protective measures and injunctions

Provisional protection, damages and compensation

Compensation for licenses of right

Decisions of the **EPO** related to Unitary Patents

Patentee

Revocation and invalidity

Declarations of non-Infringement

Prior use

Third party



Actions before the national courts

Inventorship

Employee inventions

License disputes



Litigation costs at the UPC

National litigation cost estimates:

NL & FR €100 - 200.000 DE €100 - 250.000

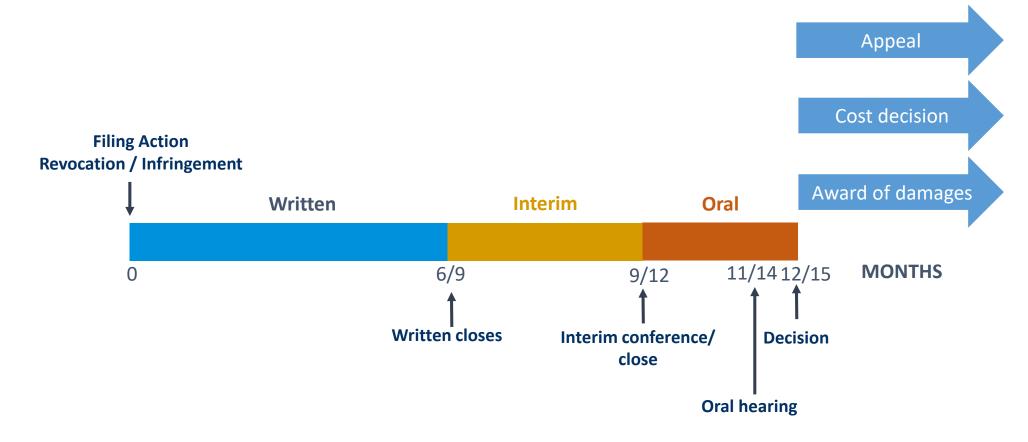
UK €1.500.000 ++

UPC litigation 1-2x cost of an EU national trial? Considerations:

- Internal resources focused
- Specialised court
- Value based compensation

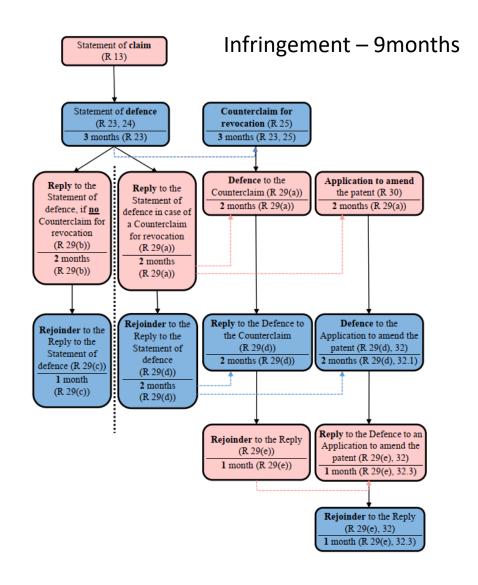


UPC Proceedings



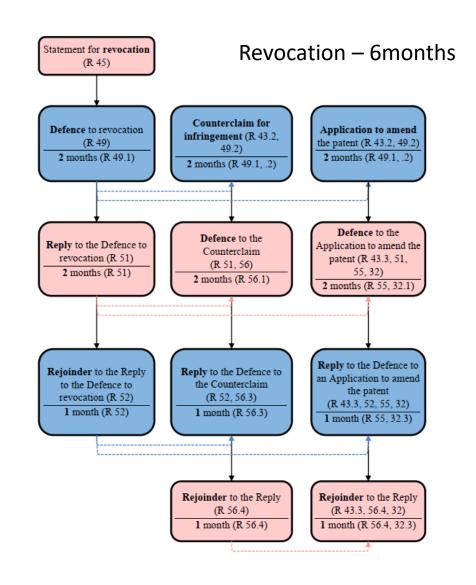


UPC Proceedings





UPC Proceedings





Litigation precaution

File protective letter?

Are there competitor activities that warrant filing a protective letter?



Pros & Cons?

Current EP portfolio

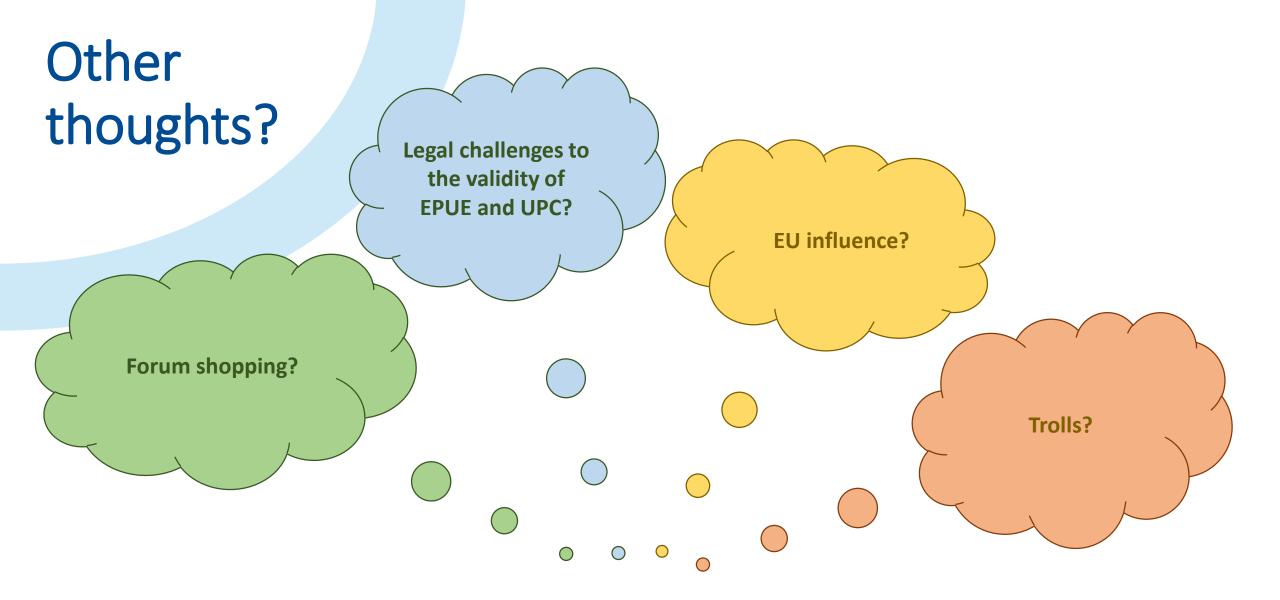
Stay in*	Opt out*
All eggs in one basket * Strength of patent?	Separate national decisions
Cheaper litigation	Expensive litigation
Unknown case law	Known national case law
May opt out/in later	May opt in later

^{*} Locked in choice if litigation is initiated

Future EP portfolio (next 7/7 years)

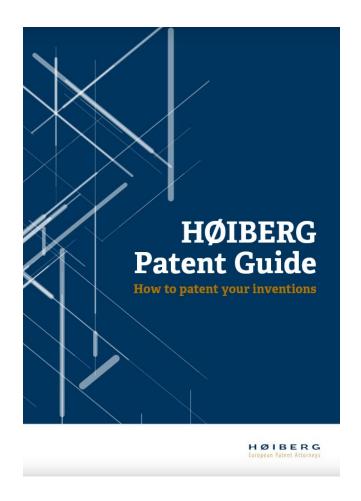
Unitary effect	Regular EP*
All eggs in one basket * Strength of patent?	Separate national decisions
Cheaper litigation	Expensive litigation
Unknown case law	Known national case law
Locked in UPC	Option to opt out /in
Cheaper broad protection	Expensive broad protection
Changes in one place	Changes nationally

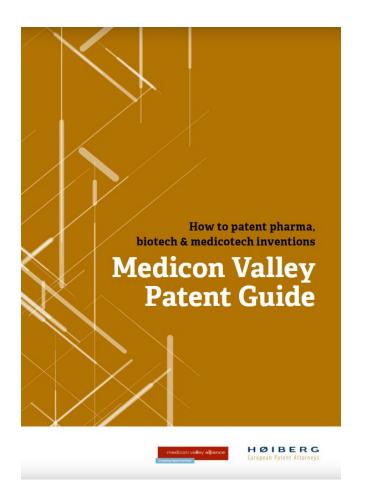






Thank you for your attention





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